# Problem Set 5: Aharonov-Bohm, Propagators & Coherent States Graduate Quantum I

Physics 6572 James Sethna Due Friday Sept. 26 Last correction at September 15, 2014, 9:59 pm

#### Potentially useful reading

Sakurai and Napolitano, sections 2.3 (coherent states), 2.6 (path integrals), 3.4 (density

matrices)

Schumacher & Westmoreland section 13.3 (coherent states)

Weinberg section 3.2 (delta functions), section 9.6 (Greens functions)

P.W. Anderson, "Basic Notions of Condensed Matter Physics", section 3E p. 107-113

(advanced Green's functions)

### 5.1 Dirac $\delta$ -functions. (Math) ③

Quantum bound-state wavefunctions are unit vectors in a complex Hilbert space. If there are N particles in 3 dimensions, the Hilbert space is the space of complex-valued functions  $\psi(\mathbf{x})$  with  $\mathbf{x} \in \mathbb{R}^{3N}$  whose absolute squares are integrable:  $\int d\mathbf{x} |\psi(\mathbf{x})|^2 < \infty$ . But what about unbound states? For example, the propagating plane-wave states

 $\psi(x) = |k\rangle \propto \exp(-ikx)$  for a free particle in one dimension? Because unbound states are spread out over an infinite volume, their probability density at any given point is zero – but we surely don't want to normalize  $|k\rangle$  by multiplying it by zero.

Mathematicians incorporate continuum states by extending the space into a rigged Hilbert space. The trick is that the unbound states form a continuum, rather than a discrete spectrum – so instead of summing over states to decompose wavefunctions  $|\phi\rangle = \mathbb{1}\phi = \sum_{n} |n\rangle \langle n|\phi\rangle$  we integrate over states  $\phi = \mathbb{1}\phi = \int dk |k\rangle \langle k|\phi\rangle$ . This tells us how we must normalize our continuum wavefunctions: instead of the Kronecker- $\delta$  function  $\langle m|n\rangle = \delta_{mn}$  enforcing orthonormal states, we demand  $|k'\rangle = \mathbb{1}|k'\rangle = \int dk |k\rangle \langle k|k'\rangle = |k'\rangle = \int dk |k\rangle \delta(k-k')$  telling us that  $\langle k|k'\rangle = \delta(k-k')$  is needed to ensure the useful decomposition  $\mathbb{1} = \int dk |k\rangle \langle k|$ .

Let's work out how this works as physicists, by starting with the particles in a box, and then taking the box size to infinity. For convenience, let us work in a one dimensional box  $-L/2 \leq x < L/2$ , and use *periodic boundary conditions*, so  $\psi(-L/2) = \psi(L/2)$ and  $\psi'(-L/2) = \psi'(L/2)$ . This choice allows us to continue to work with plane-wave states  $|n\rangle \propto \exp(-ik_n x)$  in the box. (We could have used a square well with infinite sides, but then we'd need to fiddle with wave-functions  $\propto \sin(kx)$ .)

(a) What values of  $k_n$  are allowed by the periodic boundary conditions? What is the separation  $\Delta k$  between successive wavevectors? Does it go to zero as  $L \to \infty$ , leading to a continuum of states?

To figure out how to normalize our continuum wavefunctions, we now start with the relation  $\langle m|n\rangle = \delta_{mn}$  and take the continuum limit. We want the normalization  $N_k$  of the continuum wavefunctions to give  $\int_{-\infty}^{\infty} N_k N_{k'} \exp(-i(k'-k)x) dx = \delta(k'-k)$ .

(b) What is the normalization  $\langle x|n \rangle = N_n \exp(ik_n x)$  for the discrete wave-functions in the periodic box, to make  $\langle m|n \rangle = \int_{-L/2}^{L/2} N_n N_m \exp(-i(k_m - k_n)x) dx = \delta_{mn}$ ? Write  $\mathbb{1} = \sum_n |n\rangle \langle n|$ , and change the sum to an integral in the usual way  $(\int dk |k\rangle \langle k| \approx \sum_n \Delta k |n\rangle \langle n|)$ . Show that the normalization of the continuum wavefunctions must be  $N_k = 1/\sqrt{2\pi}$ , so  $\psi_k(x) = \langle x|k\rangle = \exp(ikx)/\sqrt{2\pi}$ . (Hint: If working with operators is confusing, ensure that  $\langle x|\mathbb{1}|x'\rangle$  for -L/2 < x, x' < L/2 is the same for  $\mathbb{1} = \sum_n |n\rangle \langle n|$ (valid in the periodic box) and for  $\mathbb{1} = \int dk |k\rangle \langle k|$  (valid for all x).

Notice some interesting ramifications:

I. The fact that our continuum plane waves have normalization  $1/\sqrt{2\pi}$  incidentally tells us one form of the  $\delta$  function:

$$\delta(k'-k) = \langle k|k'\rangle = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-\mathrm{i}(k'-k)x} \mathrm{d}x.$$
 (1)

Also,  $\delta(x'-x) = 1/(2\pi) \int_{-\infty}^{\infty} \mathrm{d}k \exp(\mathrm{i}k(x'-x)).$ 

II. The same normalization is used for 'position eigenstates'  $|x\rangle$ , so  $\langle x'|x\rangle = \delta(x'-x)$  and  $\mathbb{1} = \int dx |x\rangle \langle x|$ .

III. The Fourier transform can be viewed as a change of variables from the basis  $|x\rangle$  to the basis  $|k\rangle$ :

$$\widetilde{\phi}(k) = \langle k | \phi \rangle = \langle k | \mathbb{1} | \phi \rangle$$
  
=  $\int dx \langle k | x \rangle \langle x | \phi \rangle$  (2)  
=  $1/\sqrt{2\pi} \int dx \exp(-ikx)\phi(x)$ 

Note that this definition is different from that I used in the appendix of my book (Statistical Mechanics: Entropy, Order Parameters, and Complexity, http://pages.physics. cornell.edu/~sethna/Stat Mech/EntropyOrderParametersComplexity.pdf); there the  $2\pi$ is placed entirely on the inverse Fourier transform, which here it is split symmetrically between the two, so the inverse Fourier transform is

$$\phi(x) = \langle x | \phi \rangle = \langle x | \mathbb{1} | \phi \rangle \tag{3}$$

$$= \int \mathrm{d}k \langle x|k \rangle \langle k|\phi \rangle \tag{4}$$

$$= 1/\sqrt{2\pi} \int \mathrm{d}k \exp(\mathrm{i}kx) \widetilde{\phi}(k).$$

IV. The Dirac  $\delta$ -function can be written in many different ways. It is basically the limit<sup>1</sup> as  $\epsilon \to 0$  of sharply-peaked, integral-one functions of width  $\epsilon$  and height  $1/\epsilon$  centered at zero. Let's use this to derive the useful relation:

$$\lim_{\epsilon \to 0+} \frac{1}{x - i\epsilon} = p.v.\frac{1}{x} + i\pi\delta(x).$$
(5)

Here all these expressions are meant to be inside integrals, and p.v. is the Cauchy principal value of the integral:<sup>2</sup>

$$p.v. \int_{-\infty}^{\infty} = \lim_{\epsilon \to 0+} \int_{-\infty}^{-\epsilon} + \int_{\epsilon}^{\infty} .$$
 (6)

(c) Note that  $\epsilon/(x^2 + \epsilon^2)$  has half-width  $\epsilon$  at half-maximum, height  $\epsilon$ , and integrates to  $\pi$ , so basically (i.e., in the weak limit)  $\lim_{\epsilon \to 0} \epsilon/(x^2 + \epsilon^2) = \pi \delta(x)$ . Argue that  $\lim_{\epsilon \to 0} \int f(x)/(x-i\epsilon) dx = p.v. \int f(x)/x dx + i\pi f(0)$ . (Hints: The integral of  $1/(1+y^2)$  is  $\arctan(y)$ , which becomes  $\pm \pi/2$  at  $y = \pm \infty$ . Multiply numerator and denominator by  $x + i\epsilon$ .)

#### 5.2 Momentum Space Propagator. (Sakurai Exercise 2.33) ②

As given in Eq.(2.6.26) in Sakurai, the propagator in position space is

$$K(\mathbf{x}'', t; \mathbf{x}', t_0) = \sum_{a'} \langle \mathbf{x}'' | a' \rangle \langle a' | \mathbf{x}' \rangle \exp \left[ \frac{-iE_{a'}(t-t_0)}{\hbar} \right]$$
  
=  $\sum_{a'} \langle \mathbf{x}'' | \exp \left( \frac{-iHt}{\hbar} \right) | a' \rangle \langle a' | \exp \left( \frac{iHt_0}{\hbar} \right) | \mathbf{x}' \rangle$ 

The analogous propagator in momentum space is given by  $\langle \mathbf{p}'', t | \mathbf{p}', t_0 \rangle$ . Derive an explicit expression for  $\langle \mathbf{p}'', t | \mathbf{p}', t_0 \rangle$  for the free particle case.

#### 5.3 Harmonic oscillator spectrum: The propagator. (Path integrals) ③

(a) Show that the trace of the propagator can be written in terms of the energy eigenvalues:

$$\int_{-\infty}^{\infty} K(x, t_2; x, t_1) dx = \sum_{n} \exp(-iE_n(t_2 - t_1)/\hbar).$$
(7)

(Hint: write

$$K = \langle x_2 | U(t_2 - t_1) | x_1 \rangle = \langle x_2 | \mathrm{e}^{-\mathrm{i}H(t_2 - t_1)/\hbar} | x_1 \rangle$$
$$= \langle x_2 | \mathbb{1} \mathrm{e}^{-\mathrm{i}H(t_2 - t_1)/\hbar} | x_1 \rangle$$

<sup>&</sup>lt;sup>1</sup>Clearly this is not a limit in the ordinary sense: the difference between functions does not go to zero as  $\epsilon$  goes to zero, but rather (within  $\epsilon$  of the origin) has large positive and negative values that cancel. It is a *weak limit* – when integrated against any smooth functions, the differences go to zero.

<sup>&</sup>lt;sup>2</sup>If f(x) is positive at zero,  $\int f(x)/x \, dx$  is the sum of minus infinity for x < 0 and positive infinity for x > 0; taking the principal value tells us to find the sum of these two canceling infinities by chopping them symmetrically about zero.

and insert a complete set of energy eigenstates for 1.)

(b) Sum the geometrical series in eqn 7 for a one-dimensional harmonic oscillator of frequency  $\omega$ .

The propagator for the harmonic oscillator is

$$K_{HO}(x_2, t_2; x_1, t_1) = \sqrt{\frac{m\omega}{2\pi i\hbar \sin(\omega(t_2 - t_1))}} \exp\left[\frac{im\omega}{2\hbar \sin(\omega(t_2 - t_1))} \{(x_2^2 + x_1^2)\cos(\omega(t_2 - t_1)) - 2x_2x_1)\right].$$

(There is a typo in Sakurai's formula 2.6.18).

(c) Write  $K_{HO}(x, t_2, x, t_1) = f(t) \exp(-iA(t)x^2)$ . Evaluate the trace. Show that you get the same answer as part (b). (Hint: Use the Gaussian integral formula  $\int_{-\infty}^{\infty} \exp(-iA(t)x^2) = \sqrt{\pi/(iA)}$ . You may want to use the half-angle formula  $\sin(a/2) = \sqrt{\frac{1}{2}(1 - \cos(a))}$ .)

### 5.4 Aharonov-Bohm Wire. (ParallelTransport) ③

What happens to the electronic states in a thin metal loop as a magnetic flux  $\Phi_B$  is threaded through it? This was a big topic in the mid-1980's, with experiments suggesting that the loops would develop a spontaneous current, that depended on the flux  $\Phi_B/\Phi_0$ , with  $\Phi_0 = hc/e$  the 'quantum of flux' familiar from the Bohm-Aharnov effect. In particular, Nandini Trivedi worked on the question while she was a graduate student here:

Nandini Trivedi and Dana Browne, 'Mesoscopic ring in a magnetic field: Reactive and dissipative response', *Phys. Rev. B* **38**, 9581-9593 (1988);

she's now a faculty member at Ohio State.

Some of the experiments clearly indicated that the periodicity in the current went as  $\Phi_0/2 = hc/2e$  – half the period demanded by Bohm and Aharonov from fundamental principles. (This is OK; having a *greater* period would cause one to wonder about fractional charges.) Others found (noisier) periods of  $\Phi_0$ . Can we do a free-particle-on-a-ring calculation to see if for some reason we get half the period too?

Consider a thin wire loop of radius R along  $x^2 + y^2 = R^2$ . Let a solenoid containing magnetic flux  $\Phi_B$ , thin compared to R, lie along the  $\hat{z}$  axis, with effectively no magnetic field outside the solenoid. Let  $\phi$  be the angle around the circle with respect to the positive x-axis. (Don't confuse the flux  $\Phi_B$  with the angle  $\phi$ !) We'll assume the wire confines the electron to lie along the circle, so we're solving a one-dimensional Schrödinger's equation along the coordinate  $s = R\phi$  around the circle. Assume the electrons experience an arbitrary potential V(s) along the circumference  $C = 2\pi R$  of the wire loop.<sup>3</sup>

<sup>&</sup>lt;sup>3</sup>You may imagine this potential as due to random imperfections or impurities in the wire loop.

(a) If  $\vec{\mathbf{A}} = a(r)\hat{\phi}$ , what is the value of a(R) for a solenoid flux  $\Phi_B$ ?

(b) Using this  $\mathbf{A}$  field, write the one-dimensional time-independent Schrödinger equation giving the eigenenergies for electrons on this ring. What is the boundary conditions for the electron wavefunction  $\psi$  at s = 0 and s = C? (Hint: the wire is a circle; no parallel transport yet. I'm not asking you to solve the equation – only to write it down.)

Deriving the Bohm-Aharonov effect using Schrödinger's equation is easiest done using a singular gauge transformation.<sup>4</sup>

(c) Consider the gauge transformation  $\Lambda(r, \phi) = -\phi \Phi_B/(2\pi)$ . Show that  $\vec{\mathbf{A}}' = \vec{\mathbf{A}} + \nabla \Lambda$ is zero along the wire for 0 < s < C, so that we are left with a zero-field Schrödinger equation. What happens at the endpoint C? What is the new boundary condition for the electron wave function  $\psi'$  after this gauge transformation? Does the effect vanish for  $\Phi_B = n\Phi_0$  for integer n, as the Bohm-Aharonov effect says it should?

Realistically, the electrons in a large, room-temperature wire get scattered by phonons or electron-hole pairs (effectively, a quantum measurement of sorts) long before they propagate around the whole wire, so these effects were only seen experimentally when the wires were cold (to reduce phonons and electron-hole pairs) and 'mesoscopic' (tiny, so the scattering length is comparable to or larger than the circumference).

Finally, let's assume free electrons, so V(s) = 0. What's more, to make things simpler, let's imagine that there is only one electron in the wire.

(d) Ignoring the energy needed to confine the electrons into the thin wire, solve the onedimensional Schrödinger equation to give the ground state of the electron as a function of  $\Phi_B$ . Plot the current<sup>5</sup> in the wire as a function of  $\Phi_B$ . Is it periodic with period  $\Phi_0$ , or periodic with period  $\Phi_0/2$ ?

In the end, it was determined that there were two classes of experiments. Those that measured many rings at once (measuring an average current, an easier experiment) got periodicity of hc/2e, while those that attempted the challenge of measuring one mesoscopic ring at a time find hc/e.

## 5.5 Evolving Schrödinger: Coherent states. (Computation) 3

In this exercise, we shall build upon the numerical work of exercise 3.6 (free particle evolution), exercise 4.2 (Baker-Campbell Hausdorff idenity), and exercise 4.3 (Coherent States). We shall solve the time-dependent Schrödinger equation for a harmonic oscillator in its ground state, and after the ground state is translated to the side by a distance  $x_0$ .

<sup>&</sup>lt;sup>4</sup>You could just solve the original Schrödinger equation. But by doing the singular gauge transformation, you are left with a familiar Schrödinger equation without an  $\vec{\mathbf{A}}$  field, albeit with a funny boundary condition.

<sup>&</sup>lt;sup>5</sup>This is the probability current **J**. In the absence of a field,  $\mathbf{J} = (\hbar/(2mi)(\psi^*\nabla\psi - \psi\nabla\psi^*))$ . (If you don't already recognize this formula, look up the derivation!) Since the probability current is a physical quantity, it must be gauge invariant, so you can use this same formula in the singular gauge to estimate the current.

The time-dependent Schrödinger equation for our one-dimensional quantum system is:

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + V(x)\psi = H\psi = H_{\rm kin}\psi + H_{\rm pot}\psi$$
$$\psi(t) = U(t)\psi(0) = e^{-iHt/\hbar}\psi(0) = e^{-iH_{\rm kin}t/\hbar - iH_{\rm pot}t/\hbar}\psi(0)$$
(8)

As in the last exercise, we use the constants for McEuen's bouncing buckyballs, with  $m = 60m_C \sim 12 * 60m_p$  and the frequency to  $\omega = 10^{12}$  radians/sec, and will evaluate it at  $N_p = 200$  points spanning  $L = 30a_0$ ,

In the free particle example (no potential energy), we advanced time by dt by multiplying the Fourier transform by  $U_{\rm kin}(k, dt) = \exp(i(\hbar^2 k^2/2m)dt/\hbar)$ . If, on the other hand, there were no *kinetic* energy (infinite mass), we could solve for the time evolution  $\psi(x,t) = U_{\rm pot}(t)\psi(x,t=0)$  by multiplying  $\psi(x)$  in real space by a time-dependent phase depending on position:

$$\psi(x, t + dt) = U_{\text{pot}}(dt)\psi(x, t) = e^{-iH_{\text{pot}}dt/\hbar}\psi(x, t)$$
$$= e^{-iV(x)dt/\hbar}\psi(x, t).$$
(9)

To approximately solve Schrödinger's time evolution, we alternate advancing the wave function in real space and Fourier space, using the Baker–Campbell–Hausdorff formula of exercise 4.2(b):

$$\psi(t+dt) = \mathrm{e}^{-\mathrm{i}H_{\mathrm{kin}}t/\hbar - \mathrm{i}H_{\mathrm{pot}}t/\hbar}\psi(0) \quad \approx \mathrm{e}^{-\mathrm{i}H_{\mathrm{pot}}t/2\hbar}\mathrm{e}^{-\mathrm{i}H_{\mathrm{kin}}t/\hbar}\mathrm{e}^{-\mathrm{i}H_{\mathrm{pot}}t/2\hbar}\psi(0) = U_{\mathrm{pot}} \quad (10)$$

(a) Define the two arrays UkinTildeDt and UpotDtOver2. Define the initial wavefunction  $\psi[0](x)$ . (Hint: If your implementation stores  $\psi[n][x]$  as a two-dimensional complex array, you may want to allocate it and initialize  $\psi[0][x]$  as part of that array.)

(b) Evolve the wavefunction to a time equal to twice the period P of the oscillator, in steps of dt = P/100, storing your answer after each step. Plot  $\psi(x, P/5)$ , showing the real part, the imaginary part, and the absolute value all on the same graph. (Why don't we plot  $|\psi^2(x)|$  on this graph?) If possible, animate these three curves; otherwise, plot several snapshots until you see the evolution. What happens to the probability density? Why? What happens to the real and imaginary parts? Why?

(c) Now shift the wavefunction  $\psi(x, t = 0) = \psi_0(x - x0)$ , with  $x_0 = 10a_0$ , where  $a_0$  is the root-mean-square width of the ground state wavefuction (see exercise 3.6). Time evolve as in part (b). How does the evolution compare to a classical particle in the harmonic well?

(d) Using your answer to exercise 4.3(d), write the initial wavefunction for part (c) in terms of a coherent state. What is  $\lambda$ ?

For photons and phonons and other harmonic systems, the coherent states evolve just as classical particles would.

#### 5.6 Coherent State Evolution. (Operator algebra) ③

Consider the annihilation operator a for a simple harmonic oscillator, transformed into the time-dependent Heisenberg-representation operator  $\mathbf{a}_{\mathrm{H}}(t)$ :

$$\mathbf{a}_{\mathrm{H}}(t) = \mathrm{e}^{\mathrm{i}\mathcal{H}t/\hbar} a \mathrm{e}^{-\mathrm{i}\mathcal{H}t/\hbar} = U^{\dagger}(t) a U(t).$$
(11)

The time evolution for an operator in the Heisenberg representation is given by the commutator with the Hamiltonian, so

$$\frac{\mathrm{d}\mathbf{a}_{\mathrm{H}}}{\mathrm{d}t} = \frac{\mathrm{i}\mathcal{H}}{\hbar}\mathbf{a}_{\mathrm{H}} - \mathbf{a}_{\mathrm{H}}\frac{\mathrm{i}\mathcal{H}}{\hbar} = -\mathrm{i}/\hbar[\mathbf{a}_{\mathrm{H}},\mathcal{H}].$$
(12)

You may use the fact that the Hamiltonian for the harmonic oscillator in the Schrödinger representation is  $\mathcal{H} = \hbar \omega (a^{\dagger}a + \frac{1}{2})$ , and that  $[a, a^{\dagger}] = 1$ .

(a) Calculate  $[\mathbf{a}_{\mathrm{H}}, \mathcal{H}]$ , and write it in terms of  $\mathbf{a}_{\mathrm{H}}$ . What is  $d\mathbf{a}_{\mathrm{H}}/dt$ ? (Simplify your answers until they only involve  $\mathbf{a}_{\mathrm{H}}$  and constants, not  $\mathcal{H}$  or a.)

(b) Show that  $\mathbf{a}_{\mathrm{H}}(t) = \exp(-\mathrm{i}\omega t)\mathbf{a}_{\mathrm{H}}(0) = \exp(-\mathrm{i}\omega t)a$  is the solution to the time evolution you found in part (a). (Hint: This can also be a check for part (a).)

We discovered in exercise 5 that the probability density for a displaced harmonic oscillator ground state oscillates like a classical particle with the oscillator frequency  $\omega$ . In another exercise, we showed that a displaced harmonic oscillator ground state is one example of a *coherent state*, an eigenstate of the annihilation operator a:

$$a|\lambda\rangle = \lambda|\lambda\rangle,\tag{13}$$

which is also normalized  $\langle \lambda | \lambda \rangle = 1$ . Here  $\lambda \in \mathbb{C}$  can be any complex number.

(c) In the Schrödinger representation<sup>6</sup> show that a coherent state  $|\lambda\rangle$  evolves after a time t to a state  $|\xi\rangle = U(t)|\lambda\rangle$  which is also an eigenstate of the annihilation operator a. What is its eigenvalue  $\tilde{\lambda}$ ? (Hints: Multiply  $a|\xi\rangle = aU(t)|\lambda\rangle$  on the left by  $\mathbb{1} = U(t)U(-t)$  and use part (b). You don't need to compute  $U(t)|\lambda\rangle$ , you just need to show it is an eigenstate of a.)

Since there is only one coherent state with eigenvalue  $\tilde{\lambda}$ , our evolved state  $U(t)|\lambda\rangle = C|\tilde{\lambda}\rangle$  for some constant C. Since time evolution conserves probability (and hence U(t) is unitary),  $\langle \lambda | U^{\dagger}(t)U(t) | \lambda \rangle = |C|^2 = 1$ , so C is a pure phase.

It so happens that, for the standard definition of coherent states, the phase C is independent of  $\lambda$ , but depends on time.

(d) Calculate C(t) for the special case  $\lambda = 0$ . (Hint: the coherent state with  $\lambda = 0$  is the ground state of the harmonic oscillator. You don't need to know the solutions of previous sections to solve this.)

<sup>&</sup>lt;sup>6</sup>As opposed to the Heisenberg representation of part (b).