## **Group Representations**

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# Symmetry and Decomposition

- Orthogonal Bases
- Fourier Decomposition
- Normal Modes
- Eigenmodes

# A feeling

#### Triangle

What are you allowed to do to the triangle to keep it unchanged?

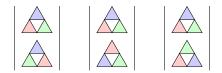
#### Circle

What operations are you allowed to do to the circle that leave it unchanged?

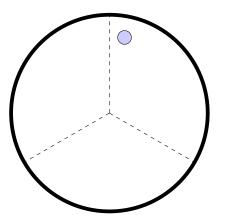


What operations can we do that leave the triangle invariant?

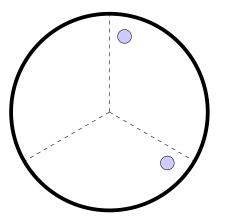




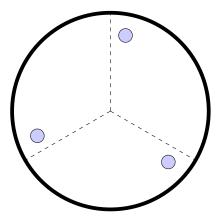
There are six total



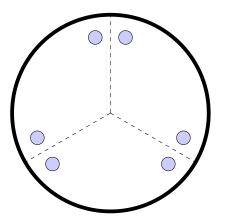
- We can also represents groups with these stereographic pictures.
- It is a way to create an object with the same symmetry.
- Imagine a plate with a single peg.



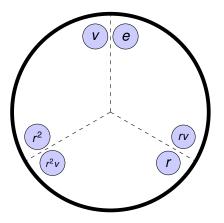
- Now start applying symmetry operations until done.
- First apply a rotation, we have to create a new peg.



Rotate again and we create another.



- Now apply the mirror symmetry.
- We're done, these pegs all transform into one other, we don't create any more.
- This plate-peg guy has the same symmetry as our triangle



- You can also identify individual pegs with individual group elements.
- Useful for reasoning out group operations.

## **Multiplication Table**

	е	r	r <sup>2</sup>	V	rv	r²v
е	е	r	r <sup>2</sup>	V	rv	r²v
r	r	r <sup>2</sup>	е	rv	r <sup>2</sup> v	V
r <sup>2</sup>	r <sup>2</sup>	е	r	r²v	V	rv
V	V	r²v	rv	е	r <sup>2</sup>	r
rv	rv	V	r²v	r	е	r <sup>2</sup>
r²v	r²v	r r <sup>2</sup> e r <sup>2</sup> v v rv	V	r <sup>2</sup>	r	е

### Group - Informal

Informally, it seems we have some common ground

• You can always do nothing

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## Group - Informal

Informally, it seems we have some common ground

- You can always do nothing
- You can always undo
- You can compose operations to get another one.

### Group - Formal

#### Definition

A group is a set G and a binary operation  $\cdot$ ,  $(G, \cdot)$ , such that

- identity:  $\exists e \in G, \forall g \in G : \qquad g \cdot e = e \cdot g = g$
- inverses:  $\forall g \in G, \exists g^{-1} \in G : g \cdot g^{-1} = g^{-1} \cdot g = e$
- closure:  $\forall g_1, g_2 \in G$ :  $g_1 \cdot g_2 \in G$
- associativity:  $\forall g_1, g_2, g_3 \in G : g_1 \cdot (g_2 \cdot g_3) = (g_1 \cdot g_2) \cdot g_3$

## Associativity

My hobby: Whenever anyone calls something an [adjective]-ass [noun] I mentally move the hyphen one word to the right. Man, that's a sweet ass-car.

Figure: xkcd:37 [alt text]=I do this constantly.

## Other Examples

Some examples:

- The symmetry operations of a triangle, square, cube, sphere, ... (really anything)
- The rearrangements of *N* elements (the symmetric group of order *N*)
- The integers under addition
- The set (0,...,n-1) under addition mod n
- The real numbers (less zero) under multiplication

Some non examples:

- The integers under multiplication. (no inverses in general)
- The renormalization group (no inverses)

## Representation

This is all and well, but if we want to do some kind of physics, we need to know how our group transforms things of interest. Take

$$\mathbf{x} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

And determine how it behaves under the transformations.

### Vector Representation of $C_{3v}$

$$E = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \qquad R = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} & 0 \\ -\sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$
$$R^{2} = \frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} & 0 \\ \sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix} \qquad V = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$RV = \frac{1}{2} \begin{pmatrix} 1 & \sqrt{3} & 0 \\ \sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix} \qquad R^{2}V = \frac{1}{2} \begin{pmatrix} 1 & -\sqrt{3} & 0 \\ -\sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

Fun fact: These matrices satisfy exactly the same multiplication table!

# **Representation - Formally**

#### Definition

A representation  $\Gamma$  is a mapping from the group set G to  $M_{n,n}$  such that  $\Gamma_{ik}(g_1)\Gamma_{kj}(g_2) = \Gamma_{ij}(g_1 \cdot g_2), \forall g_1, g_2 \in G$ .

That is, you represent the group elements by matrices, ensuring that you maintain the multiplication table.

# Example Representations of $C_{3v}$

Some examples:

- Represent *every* group element by the number 1. (The trivial representation)
- Represent,  $(e, r, r^2)$  by 1 and  $(v, rv, r^2v)$  by -1
- Use the matrices we had before (the vector representation?)
- The *regular representation*, in which you make matrices of the multiplication table. (treat each element as an orthogonal vector)

Note: You can form representations of *any* dimension.

### **Functions**

You can also generate new representations easily. Consider

 $f(\mathbf{x})$ 

Let's say we want to to transform naturally:

$$f'(\boldsymbol{x}') = f(\boldsymbol{x})$$

This defines some linear operators

$$f'(\mathbf{x}') = O_R f(\mathbf{x}') = O_R f(R\mathbf{x}) = f(\mathbf{x})$$
$$O_R f(\mathbf{x}) = f(R^{-1}\mathbf{x})$$

These  $\{O_R\}$  will form a representation.

### Example

Take  $f(\mathbf{x}) = x$ , under the transformations, this becomes

$$e: x \qquad r: -\frac{1}{2}(1-\sqrt{3})x \qquad r^2: -\frac{1}{2}(1+\sqrt{3})x$$
$$v: -x \qquad rv: \frac{1}{2}(1+\sqrt{3})x \qquad r^2v: \frac{1}{2}(1-\sqrt{3})x$$

This generates two linearly independent functions, *x* and  $\chi \equiv -\frac{1}{2}(1-\sqrt{3})x$ .

### Example

Take  $f(\mathbf{x}) = x$ , under the transformations, this becomes, with  $\chi = -\frac{1}{2}(1 - \sqrt{3})x$ 

$$e: x$$
  $r: \chi$   $r^2: -(x + \chi)$   
 $v: -x$   $rv: -\chi$   $r^2v: (x + \chi)$ 

and acting on  $\chi$  we have

$$oldsymbol{e}: \chi$$
  $oldsymbol{r}: -(oldsymbol{x}+\chi)$   $oldsymbol{r}^2: oldsymbol{x}$   
 $oldsymbol{v}: (oldsymbol{x}+\chi)$   $oldsymbol{r} oldsymbol{v}: -oldsymbol{x}$   $oldsymbol{r}^2 oldsymbol{v}: -\chi$ 

This suggests a matrix representation of our group...

## Example

$$e:\begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix} \qquad r:\begin{pmatrix} 0 & 1\\ -1 & -1 \end{pmatrix} \qquad r^2:\begin{pmatrix} -1 & -1\\ 1 & 0 \end{pmatrix}$$
$$v:\begin{pmatrix} -1 & 0\\ 1 & 1 \end{pmatrix} \qquad rv:\begin{pmatrix} 0 & -1\\ -1 & 0 \end{pmatrix} \qquad r^2v:\begin{pmatrix} 1 & 1\\ 0 & -1 \end{pmatrix}$$

### Second Example

Take  $f(\mathbf{x}) = z$ , under the transformations, this becomes

e : z	<i>r</i> : <i>z</i>	<i>r</i> <sup>2</sup> : <i>z</i>	
<i>V</i> : <i>Z</i>	<i>rv</i> : <i>z</i>	<i>r</i> ² <i>v</i> : <i>z</i>	

Only one function generated, 1D representation generated, all elements become identity functions.

## Similarity Transforms

This representation is far from unique. Any invertible matrix can form a new representation

$$S = egin{pmatrix} 0 & 0 & 1 \ 0 & 1 & 0 \ 1 & 0 & 0 \end{pmatrix}$$

can generate a new representation

$$R' = S^{-1}RS$$

because we will still satisfy the group algebra

$$R_1R_2 = R_3 \implies (S^{-1}R_1S)(S^{-1}R_2S) = (S^{-1}R_3S)$$

### Characters

Because of this, we would like some invariant quality of the representation. How about the trace, define the *character* of a group element in a particular representation as the trace of its matrix.

$$\chi^{\Gamma}(R) = \operatorname{Tr} R = \operatorname{Tr} (S^{-1}RS) = \operatorname{Tr} (S^{-1}SR) = \operatorname{Tr} R$$

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For the vector representation we created above:

$$\chi^{V}(E) = 3$$
$$\chi^{V}(R) = 0 \quad \chi^{V}(R^{2}) = 0$$
$$\chi^{V}(V) = 1 \quad \chi^{V}(RV) = 1 \quad \chi^{V}(R^{2}V) = 1$$

### Classes

Notice that a lot of these guys have the same character. A *class* is a collection of group elements that are roughly equivalent

$$g_1\equiv g_2$$
 if  $\exists s\in G:s^{-1}g_2s=g_1$ 

In our case we have three classes. The identity (always its own class), the rotations, and the mirror symmetries.

# **Reducible Representation**

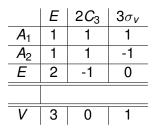
Note also that in this case, our representation is *reducible*. We have an invariant subspace, namely the 2D space (x, y), which always transforms into itself, as well as *z* which doesn't transform.

An *irreducible representation* is one that cannot be reduced, i.e. it has no invariant subspaces.

There are a finite number of (equivalent) *irreducible representations* for a finite group.

### **Character Table**

The irreducible representations for the point groups are well documented, in *character tables*. E.g.



Super orthogonality! Across both rows and columns.

# Orthogonality

Turns out, there is a sort of orthogonality for the irreducible representations of a group.

$$\sum_{g} \left[ D^{i}_{\alpha\beta}(g) \right]^{*} D^{j}_{\gamma\delta}(g) = \frac{h}{n_{i}} \delta_{ij} \delta_{\alpha\gamma} \delta_{\beta\delta}$$

Think of this as  $\alpha \times \beta$  different *h* dimensional vector spaces, with the matrix elements being the coordinates. We have orthogonality.

### Reducible representation - sums

Agreeing with our intuition, we see that our 3D representation is reducible into a 2D one and 1D one. We say it is the *direct sum* of the two:

$$V = A_1 \oplus E$$

In fact all of its matrices were block diagonal (2x2 and 1x1)

$$\begin{pmatrix} a & b & 0 \\ c & d & 0 \\ 0 & 0 & e \end{pmatrix}$$

## **Direct Product Representations**

Another useful way to generate new representations is by forming *direct product representations*. This happens a lot of in physics, like tensors.

We had a representation that acted on vectors,

$$v_i' = R_{ij}v_j$$

How do you transform tensors? You act on each index.

$$M_{ij}' = R_{ik}R_{jl}M_{kl}$$

The characters of a the direct product representation are the products of the characters

$$\chi(\boldsymbol{R}\otimes\boldsymbol{R}) = \operatorname{Tr}\,\boldsymbol{R}_{ik}\boldsymbol{R}_{jl} = \boldsymbol{R}_{ii}\boldsymbol{R}_{ii} = (\operatorname{Tr}\,\boldsymbol{R})^2 = \chi(\boldsymbol{R})^2$$

### Matrices

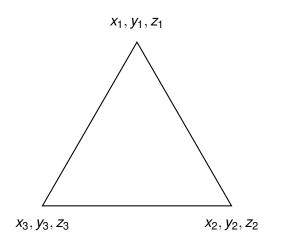
	E	2 <i>C</i> <sub>3</sub>	$3\sigma_v$
<i>A</i> <sub>1</sub>	1	1	1
A <sub>2</sub>	1	1	-1
E	2	-1	0
V	3	0	1
$M = V \otimes V$	9	0	1
$E\otimes E$	4	1	0

So we see

 $M = 2A_1 \oplus A_2 \oplus 3E$  $E \otimes E = A_1 \oplus A_2 \oplus E$ 

## Eigenmodes

Let's consider a triangle of masses connected by springs. Let's saw we want to know the eigenmodes of the system. First, let's form our representation.



### Representation

This forms a 9D representation of the group T. What are its characters

$$\chi(E) = 9$$
  $\chi(R) = 0$   $\chi(V) = 1$ 

We already know how to decompose this

$$T = 2A_1 \oplus A_2 \oplus 3E$$

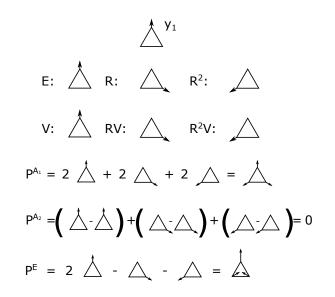
But what do these correspond to?

#### **Projection operator**

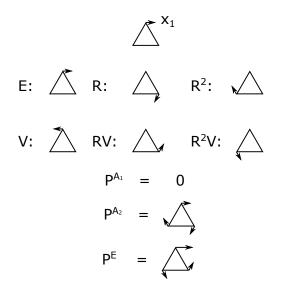
Since the character tables are super orthogonal

$${\it P}^{\Gamma} = \sum_g \chi^{\Gamma}(g) {\it D}(g)$$

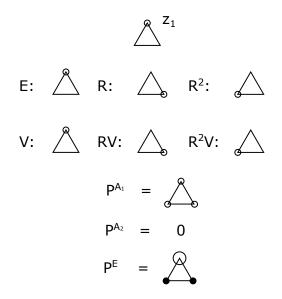
#### **Projecting Down**



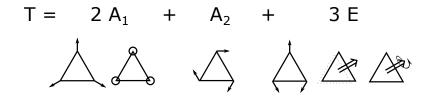
#### **Projecting Down**



#### **Projecting Down**



#### **Normal Modes**



# **Continous Groups**

There are also all of the continous groups. Consider SO(3), the group of 3D rotations.

The irreducible representations are the spherical harmonics.

$$Y_{lm}=oldsymbol{e}^{im\phi}oldsymbol{P}^m_l(\cos heta)$$

With dimensionality

$$d=(2l+1)$$

The characters are:

$$\chi'(\psi) = \frac{\sin\left[\left(l + \frac{1}{2}\right)\psi\right]}{\sin\left(\frac{\psi}{2}\right)}$$

where  $\psi$  is how much rotation you do (the classes)

#### Vectors

Orthogonality becomes integral

$$\delta_{ij} = rac{1}{\pi} \int_0^\pi d\psi \; (1-\cos\psi) \chi^{i*}(\psi) \chi^j(\psi)$$

Consider the vector representation

$$\chi^{V}(\psi) = 1 + 2\cos\psi$$

So we can decompose this

#### **Spherical Tensors**

and its direct product (read matrices)

$$\chi^{V\otimes V} = (1 + 2\cos\psi)^2$$

decomposes as

$$V \otimes V = 0 \oplus 1 \oplus 2$$

but you already knew that

$$M_{ii}$$
  $(M_{ij} - M_{ji})$   $(M_{ij} + M_{ji}) - \frac{1}{3}M_{ii}$ 

A matrix has its trace (d=1), antisymmetric part (d=3), and symmetric trace free part (d=5).

### 2 Parameter Family

Looking again at the irreducible representations of the rotation group, we note that it was a 2 parameter family, (j, l) with the group theory telling us that *j* was an integer, and l = -(2l + 1), ..., (2l + 1).

These parameters are physically important quantum numbers, the angular momentum and the magnetic quantum number.

# Fourier Transforms

Consider the group of translations.  $x \rightarrow x + a$ . Forms a group. It's irreducible representations are

$$f(x) = e^{ikx}$$
$$f(x + a) = e^{ik(x+a)} = e^{ikx}e^{ika} = ce^{ikx}$$

look familiar?

And the orthogonality theorem tells us that these are all orthogonal. Sound familiar?

Irreps form a one parameter family, corresponding to k, or "momentum"

#### **Poincare Group**

Fun fact: The poincare group, the full symmetry group of Minkowski space (translation in space or time, boosts, rotations) has as its unitary irreducible representations a two parameter family (m, s) with these also being physically relevant quantum numbers, namely mass and spin.

#### **Elastic Constants**

Why do isotropic solids have 2 (linear) elastic constants, while cubic materials have 3? Linear elasticity is all of the scalars in

€ij€kl

$$\{\{V_{SO(3)} \otimes V_{SO(3)}\} \otimes \{V_{SO(3)} \otimes V_{SO(3)}\}\} = 2A_1 \oplus \cdots \\ \{\{V_{O_h} \otimes V_{O_h}\} \otimes \{V_{O_h} \otimes V_{O_h}\}\} = 3A_1 \oplus \cdots$$

#### Graphene

Now let's talk a bit about graphene.

The goal

To enumerate all possible terms in the free energy

# Symmetries of Graphene

Whatever the energy function is, we know it has a lot of invariants:

- Discrete crystallographic translations
- 3D rotations of deformed sheet
- Graphene point group symmetries

The translations I know how to handle – Plane wave basis / Fourier Transforms. What about the others?

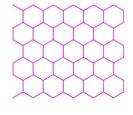
# The Deformation Gradient

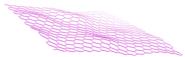
# Think of elasticity as an embedding.

$$Y: \mathbb{R}^2 o \mathbb{R}^3$$
  
 $X_J = Y_J(x_i)$   
 $dX_J = F_{iJ}dx_i$ 

The deformation gradient contains the important information about the deformation.

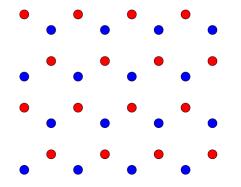
$$F^T F = 1 + 2\epsilon$$
  
 $F = BU$ 





#### **Sublattices**

#### A and B atoms



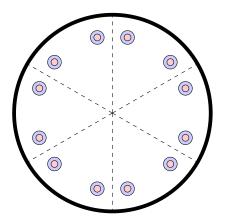
So, actually two functions

$$ar{Y} = rac{1}{2} \left( Y^A + Y^B 
ight)$$

 $\Delta = Y^A - Y^B$ 

 $\bar{Y}$  gives rise to F

# Point Group Symmetries - D<sub>6h</sub>



- Graphene has a *D*<sub>6*h*</sub> point group symmetry.
- 24 group elements

 $D_{6h}$ 

	E	2 <i>C</i> <sub>6</sub>	2 <i>C</i> <sub>3</sub>	<i>C</i> <sub>2</sub>	3 <i>C</i> <sub>2</sub>	3 <i>C</i> <sub>2</sub> "	i	2 <i>S</i> <sub>3</sub>	2 <i>S</i> <sub>6</sub>	$\sigma_h$	$3\sigma_d$	$3\sigma_v$
A1g	1	1	1	1	1	1	1	1	1	1	1	1
A2g	1	1	1	1	-1	-1	1	1	1	1	-1	-1
B1g	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1
B2g	1	-1	1	-1	-1	1	1	-1	1	-1	-1	1
E1g	2	1	-1	-2	0	0	2	1	-1	-2	0	0
E2g	2	-1	-1	2	0	0	2	-1	1	2	0	0
A1u	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1
A2u	1	1	1	1	-1	-1	-1	-1	-1	-1	1	1
B1u	1	-1	1	-1	1	-1	-1	1	-1	1	-1	-1
B2u	1	-1	1	-1	-1	1	-1	1	-1	1	1	-1
E1u	2	1	-1	-2	0	0	-2	-1	1	2	0	0
E2u	2	-1	-1	2	0	0	-2	1	-1	-2	0	0



Now we can systematically expand the free energy...

- Powers of the strain
- Gradients
- Terms involving  $\Delta$

The possible terms in our free energy are severely restricted by symmetry

$$\begin{split} F_{iJ} : & (\text{vector on } D_{6h}) \times (\text{vector on } SO(3)) \\ \epsilon_{ij} : & (\text{rank 2 tensor on } D_{6h}) \\ \Delta_J : & (\text{pseudoscalar on } D_{6h}) \times (\text{vector on } SO(3)) \\ \nabla_i : & (\text{vector on } D_{6h}) \end{split}$$

# Example Term

Consider an example term

 $A_{IiJjkIm}\Delta_I F_{iJ}\epsilon_{jk}\epsilon_{Im}$ 

We have a bunch of conditions (basically)

- Every little index must be invariant under D<sub>6h</sub>
- Every big index must be invariance under SO(3)
- We must be able to swap  $(jk) \leftrightarrow (Im)$

This term forms a representation of our symmetries, namely

 $[V_{D_{6h}}] \otimes [V_{D_{6h}} \otimes V_{SO(3)}] \otimes \{[V_{D_{6h}} \otimes V_{SO(3)}] \otimes [V_{D_{6h}} \otimes V_{SO(3)}]\}$ 

### Why I do it

There could have been

$$3 \times (2 \times 3) \times (2 \times 2) \times (2 \times 2) = 288$$

Terms.

But turns out there are only 2 allowed.

 $T_{ijk}\Delta_I F_{il}\epsilon_{jk}\epsilon_{ll}$  $T_{klm}\Delta_I F_{il}\epsilon_{ik}\epsilon_{lm}$ 

where

$$T_{111} = T_{122} = T_{212} = T_{221} = 0$$
$$T_{112} = T_{222} = -1$$
$$T_{121} = T_{211} = 1$$

# Expand the Free Energy

Paying attention to symmetry...

 $\mathcal{F} = \alpha_0 \epsilon_{ii}$  $+ \alpha_1 \epsilon_{ii} \epsilon_{ii} + \alpha_2 \epsilon_{ii} \epsilon_{ii}$  $+ \alpha_{3}\epsilon_{ii}\epsilon_{ij}\epsilon_{kk} + \alpha_{4}\epsilon_{ij}\epsilon_{kk}\epsilon_{ki} + \alpha_{5}H_{ijklmn}\epsilon_{ii}\epsilon_{kl}\epsilon_{mn}$  $+ \alpha_6 a_0^2 F_{il} \nabla_i \nabla_i F_{il}$  $+ \alpha_7 a_0^4 F_{il} \nabla_i \nabla_i \nabla_k \nabla_k F_{il} + \alpha_8 a_0^4 H_{ijklmn} F_{il} \nabla_i \nabla_k \nabla_l \nabla_m F_{nl}$  $+ \alpha_9 a_0^{-1} T_{iik} \Delta_I F_{il} \epsilon_{ik}$ + $\alpha_{10}a_0^{-1}T_{iik}\Delta_iF_{il}\epsilon_{ik}\epsilon_{ll} + \alpha_{11}a_0^{-1}T_{klm}\Delta_iF_{il}\epsilon_{ik}\epsilon_{lm}$  $+ \alpha_{12} a_0^{-2} \Delta_I \Delta_I$  $+ \alpha_{13} a_0^{-2} \Delta_I F_{II} \Delta_I F_{JI}$  $+ \cdots$ 

#### The End

Thanks.