

SU(2) and spinor rotations

$$D_z(\phi) = \begin{pmatrix} e^{-i\phi/2} & 0 \\ 0 & e^{i\phi/2} \end{pmatrix} = e^{-i\frac{\phi}{2} \begin{pmatrix} \frac{\hbar}{2} & 0 \\ 0 & -\frac{\hbar}{2} \end{pmatrix}} = e^{-i\frac{\phi}{2} S_z}$$

$$= e^{-i\frac{\phi}{2} \vec{S} \cdot \hat{z}} \quad \vec{S} = (S_x, S_y, S_z) = \frac{\hbar}{2} (\sigma_x, \sigma_y, \sigma_z) = \frac{\hbar}{2} \vec{\sigma}$$

*Pauli matrices*

• Any 3D rotation has axis  $\hat{n}$  invariant angle  $\phi$  *Axis-angle coord (vs. Euler angles)*

$$D_{\hat{n}}(\phi) = e^{-i\frac{\phi}{2} \vec{S} \cdot \hat{n}} \quad \vec{S} \cdot \hat{n} = \sigma_x n_x + \sigma_y n_y + \sigma_z n_z$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} n_x + \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} n_y + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} n_z$$

$$\begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix} \xrightarrow{\hat{n}, \phi} D_{\hat{n}}(\phi) \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix} = \begin{pmatrix} n_x - i n_y & n_z \\ n_x + i n_y & -n_z \end{pmatrix} = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix}$$

*Note: Hermitian*

$$\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \Rightarrow \sigma_z^2 = \mathbb{1} \quad \text{Rotate } \hat{z} \rightarrow \hat{n}$$

$$(\sigma_x \hat{n})^2 = \mathbb{1} \quad \text{[check: } \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}]$$

$$(\sigma_y \hat{n})^2 = \begin{cases} \mathbb{1} & \text{even} \\ -\mathbb{1} & \text{odd} \end{cases} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\Rightarrow e^{iA \sigma \cdot \hat{n}} = \sum_m \frac{(iA \sigma \cdot \hat{n})^m}{m!} = \sum_{m=0}^{\infty} \frac{A^m}{2^m m!} \mathbb{1} + i \sum_{m=1}^{\infty} \frac{(-1)^{m-1} A^m}{2^m m!} (\sigma \cdot \hat{n})^m$$

$$= \mathbb{1} \cos A + i \hat{n} \cdot \vec{\sigma} \sin A$$

$$-i\frac{\phi}{2} \vec{S} \cdot \hat{n} = -\frac{\phi}{2} \sigma \cdot \hat{n} \quad A = \frac{\phi}{2} \quad \text{even}$$

$$D_{\hat{n}}(\phi) = e^{-i\frac{\phi}{2} \vec{S} \cdot \hat{n}} = \cos(\frac{\phi}{2}) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + i \sin(\frac{\phi}{2}) \begin{pmatrix} n_x - i n_y & n_z \\ n_x + i n_y & -n_z \end{pmatrix}$$

$$= \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \quad a = \cos \frac{\phi}{2} - i n_z \sin \frac{\phi}{2}$$

$$b = -(n_x + i n_y) \sin \frac{\phi}{2}$$

②

Note!

$$\bullet \text{ Det } D = |a|^2 + |b|^2 = \cos^2 \phi + \sin^2 \phi \cdot \frac{1}{2} (n_x^2 + n_y^2 + n_z^2) = 1$$

$$\Rightarrow a = x + iy, b = z + iw \quad x^2 + y^2 + z^2 + w^2 = 1$$

$\Rightarrow$  Space of spinor rotations

$\equiv$  Unit sphere in 4D  $[S^3]$

$$\bullet D^\dagger D = \begin{pmatrix} a^\dagger & -b \\ b^\dagger & a \end{pmatrix} \begin{pmatrix} a & b \\ b^\dagger & a^\dagger \end{pmatrix} = \begin{pmatrix} a^\dagger a + b^\dagger b & a^\dagger b - b^\dagger a \\ a b^\dagger - a^\dagger b & b^\dagger b + a^\dagger a \end{pmatrix} = \mathbb{I}$$

$D$  is unitary.

[Always, if  $A$  is Hermitian,  $e^{iA}$  is unitary  
 $(e^{iA})^\dagger = e^{-iA^\dagger} = e^{-iA} = (e^{iA})^{-1}$ ]

Space

Rotations are unitary, unimodular matrices

$D \in SU(2)$ .

Are all  $SU(2)$  transformations <sup>SPINOR</sup> rotations?

$$\text{Dim}(D) = 3 \left[ \begin{array}{l} \text{unit sphere} \\ \text{in 4D} \end{array} \right], \text{ or } \hat{A} = 2 \text{ dim} \\ + \varphi = 1 \text{ dim}$$

$$\text{Dim}(SU(2)) = \left[ \begin{array}{l} 4 \text{ complex } - 4 \text{ constraints } - 1 \text{ det} \\ \times 2 \text{ (Re, Im)} \quad U(2) \quad = 1 \end{array} \right] = 3$$

Yes  $\checkmark$   $\begin{pmatrix} a & b \\ c & d \end{pmatrix}^\dagger \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a^\dagger & c^\dagger \\ b^\dagger & d^\dagger \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a^\dagger a + c^\dagger c & a^\dagger b + c^\dagger d \\ b^\dagger a + d^\dagger c & b^\dagger b + d^\dagger d \end{pmatrix}$

# SO(3) and vector rotations

Are rotations 3x3 real matrices? SO(3)?  
What's this weird 2x2 complex matrix stuff?  
SU(2)?

- Rotations are a group. [Identity, inverse, closed, associative]

$$g_1 g_2 = g_3$$

Rotations act on vectors  $(x_1, x_2, x_3) \in \mathbb{R}^3$

→ 3x3 matrix representation of group.

$$g \rightarrow R(g) \text{ s.t. } R(g_1) R(g_2) = R(g_3)$$

- Rotations act on spinors  $\begin{pmatrix} \chi_1 \\ \chi_2 \end{pmatrix} \in \mathbb{C}^2$  (spin 1/2 wavefcts)

→ 2x2 complex matrix representation

$$g \rightarrow D^{1/2}(g) \quad D^{1/2}(g_1) D^{1/2}(g_2) = D^{1/2}(g_3)$$

- Rotations act on  $\ell = 1$  spatial wavefunctions  $\begin{pmatrix} R_1 \\ R_0 \\ R_{-1} \end{pmatrix} \in \mathbb{C}^3$   
 $\beta_1 Y_1^1(\theta, \phi) + \beta_0 Y_1^0(\theta, \phi) + \beta_{-1} Y_1^{-1}(\theta, \phi)$

$$g \rightarrow D^{(1)}(g) \quad \rightarrow 3 \times 3 \text{ complex rep}$$

Note p-orbitals  $p_z = \begin{pmatrix} + \\ 0 \\ - \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = Y_1^0(\theta, \phi) \sim \cos \theta$

$$Y_1^1 \sim \sin \theta e^{i\phi} \quad Y_1^{-1} \sim \sin \theta e^{-i\phi}$$

$$p_x \sim \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \sim Y_1^1 + Y_1^{-1} = \begin{pmatrix} 1/\sqrt{2} \\ 0 \\ 1/\sqrt{2} \end{pmatrix}$$

Change basis  $p_y \sim \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \sim \frac{Y_1^1 - Y_1^{-1}}{i} = \begin{pmatrix} 1/\sqrt{2} \\ 0 \\ -1/\sqrt{2} \end{pmatrix}$   
 $Y_1^1 \rightarrow p_x, p_y, p_z \rightarrow D^{(1)}(g) \rightarrow R(g)$  equivalent reps.

But then is  $SU(2) = SO(3)$  as a group?

- Two groups are isomorphic if one-to-one map  $g_1(g_2)$  preserves multiplication rule.

$D(R)$  preserves multiplication rule.

Q: Is  $D(R)$  1-1?

A: Actually,  $D(R)$  isn't well defined!

Two  $SU(2)$  matrices for each  $SO(3)$

matrix  $\times 1$  360° rotation =  $\begin{cases} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \end{cases}$

Exercise 4.1

$R(D)$  is well-defined!

unit sphere  $S^3$  wraps around  $SO(3)$  twice.