

# Group Representations

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# Group Theory

You've been using it this whole time. Things I hope to cover

- And Introduction to Groups
- Representation theory
- Crystallagraphic Groups
- Continuous Groups
- Eigenmodes
- Fourier Analysis
- Graphene

## A feeling

### Triangle

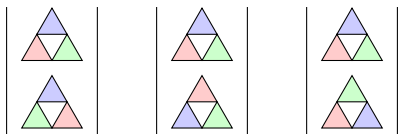
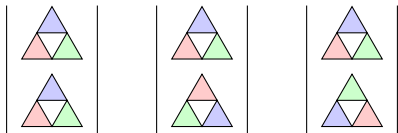
What are you allowed to do to the triangle to keep it unchanged?

### Circle

What operations are you allowed to do to the circle that leave it unchanged?

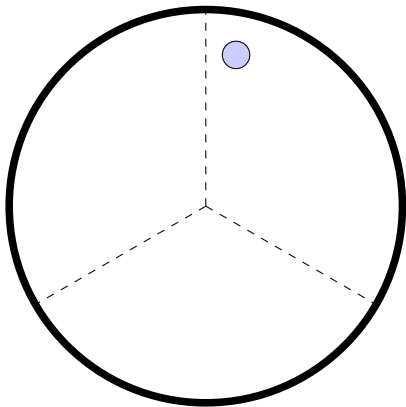
# Triangle

What operations can we do that leave the triangle invariant?



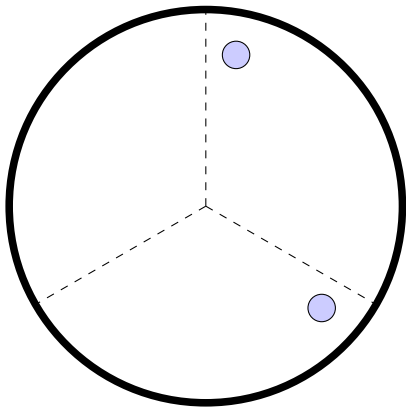
There are six total

$C_{3v}$



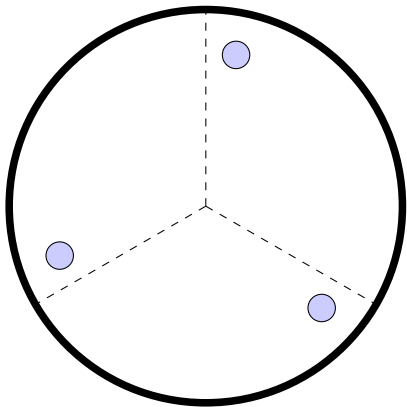
- We can also represent groups with these stereographic pictures.
- It is a way to create an object with the same symmetry.
- Imagine a plate with a single peg.

$C_{3v}$



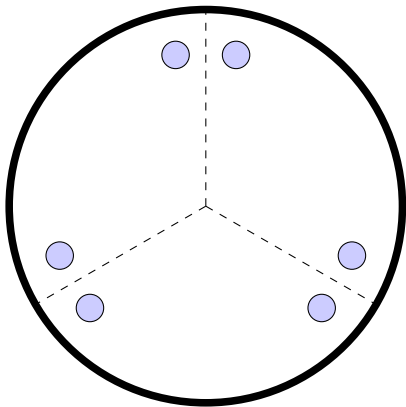
- Now start applying symmetry operations until done.
- First apply a rotation, we have to create a new peg.

$C_{3v}$



Rotate again and we create another.

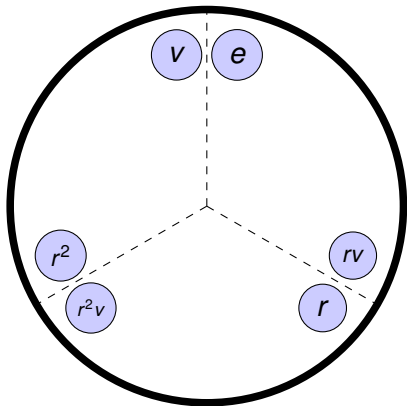
$C_{3v}$



- Now apply the mirror symmetry.
- We're done, these pegs all transform into one other, we don't create any more.
- This plate-peg guy has the same symmetry as our triangle



$C_{3v}$



- You can also identify individual pegs with individual group elements.
- Useful for reasoning out group operations.

## Multiplication Table

	$e$	$r$	$r^2$	$v$	$rv$	$r^2v$
$e$	$e$	$r$	$r^2$	$v$	$rv$	$r^2v$
$r$	$r$	$r^2$	$e$	$rv$	$r^2v$	$v$
$r^2$	$r^2$	$e$	$r$	$r^2v$	$v$	$rv$
$v$	$v$	$r^2v$	$rv$	$e$	$r^2$	$r$
$rv$	$rv$	$v$	$r^2v$	$r$	$e$	$r^2$
$r^2v$	$r^2v$	$rv$	$v$	$r^2$	$r$	$e$

## Group - Informal

Informally, it seems we have some common ground

- You can always do nothing

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Informally, it seems we have some common ground

- You can always do nothing
- You can always undo
- You can compose operations to get another one.

# Group - Formal

## Definition

A *group* is a set  $G$  and a binary operation  $\cdot$ ,  $(G, \cdot)$ , such that

- identity:  $\exists e \in G, \forall g \in G : g \cdot e = e \cdot g = g$
- inverses:  $\forall g \in G, \exists g^{-1} \in G : g \cdot g^{-1} = g^{-1} \cdot g = e$
- closure:  $\forall g_1, g_2 \in G : g_1 \cdot g_2 \in G$
- associativity:  $\forall g_1, g_2, g_3 \in G : g_1 \cdot (g_2 \cdot g_3) = (g_1 \cdot g_2) \cdot g_3$

## Other Examples

Some examples:

- The symmetry operations of a triangle, square, cube, sphere, ... (really anything)
- The rearrangements of  $N$  elements (the symmetric group of order  $N$ )
- The integers under addition
- The set  $(0, \dots, n-1)$  under addition mod  $n$
- The real numbers (less zero) under multiplication

Some non examples:

- The integers under multiplication. (no inverses in general)
- The renormalization group (no inverses)
- Bierbaum

# Representation

This is all and well, but if we want to do some kind of physics, we need to know how our group transforms things of interest.

Take

$$\mathbf{x} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$



## Vector Representation of $C_{3v}$

$$E = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad R = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} & 0 \\ -\sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

$$R^2 = \frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} & 0 \\ \sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix} \quad V = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$RV = \frac{1}{2} \begin{pmatrix} 1 & \sqrt{3} & 0 \\ \sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix} \quad R^2V = \frac{1}{2} \begin{pmatrix} 1 & -\sqrt{3} & 0 \\ -\sqrt{3} & -1 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

Fun fact: These matrices satisfy exactly the same multiplication table!

# Representation - Formally

## Definition

A *representation*  $\Gamma$  is a mapping from the group set  $G$  to  $M_{n,n}$  such that  $\Gamma_{ik}(g_1)\Gamma_{kj}(g_2) = \Gamma_{ij}(g_1 \cdot g_2), \forall g_1, g_2 \in G$ .

That is, you represent the group elements by matrices, ensuring that you maintain the multiplication table.

## Example Representations of $C_{3v}$

Some examples:

- Represent *every* group element by the number 1. (The trivial representation)
- Represent,  $(e, r, r^2)$  by 1 and  $(v, rv, r^2v)$  by -1
- Use the matrices we had before (the vector representation?)
- The *regular representation*, in which you make matrices of the multiplication table. (treat each element as an orthogonal vector)

Note: You can form representations of *any* dimension.

## Functions

You can also generate new representations easily.

Consider

$$f(\mathbf{x})$$

Let's say we want to transform naturally:

$$f'(\mathbf{x}') = f(\mathbf{x})$$

This defines some linear operators

$$f'(\mathbf{x}') = O_R f(\mathbf{x}') = O_R f(R\mathbf{x}) = f(\mathbf{x})$$

$$O_R f(\mathbf{x}) = f(R^{-1}\mathbf{x})$$

These  $\{O_R\}$  will form a representation.

## Similarity Transforms

This representation is far from unique. Any invertible matrix can form a new representation

$$S = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

can generate a new representation

$$R' = S^{-1}RS$$

because we will still satisfy the group algebra

$$R_1 R_2 = R_3 \implies (S^{-1} R_1 S)(S^{-1} R_2 S) = (S^{-1} R_3 S)$$

## Characters

Because of this, we would like some invariant quality of the representation. How about the trace, define the *character* of a group element in a particular representation as the trace of its matrix.

$$\chi^\Gamma(R) = \text{Tr } R = \text{Tr } (S^{-1}RS) = \text{Tr } (S^{-1}SR) = \text{Tr } R$$

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For the representation we created above:

$$\chi^V(E) = 3$$

$$\chi^V(R) = 0 \quad \chi^V(R^2) = 0$$

$$\chi^V(V) = 1 \quad \chi^V(RV) = 1 \quad \chi^V(R^2V) = 1$$

# Classes

Notice that a lot of these guys have the same character.  
A *class* is a collection of group elements that are roughly equivalent

$$g_1 \equiv g_2 \text{ if } \exists s \in G : s^{-1}g_2s = g_1$$

In our case we have three classes. The identity (always its own class), the rotations, and the mirror symmetries.



## Reducible Representation

Note also that in this case, our representation is *reducible*. We have an invariant subspace, namely the 2D space  $(x, y)$ , which always transforms into itself, as well as  $z$  which doesn't transform.

An *irreducible representation* is one that cannot be reduced, i.e. it has no invariant subspaces.

There are a finite number of (equivalent) *irreducible representations* for a finite group.



## Orthogonality

Turns out, there is a sort of orthogonality for the irreducible representations of a group.

$$\sum_g \left[ D_{\alpha\beta}^i(g) \right]^* D_{\gamma\delta}^j(g) = \frac{h}{n_i} \delta_{ij} \delta_{\alpha\gamma} \delta_{\beta\delta}$$

Think of this as  $\alpha \times \beta$  different  $h$  dimensional vector spaces, with the matrix elements being the coordinates. We have orthogonality.

## Reducible representation - sums

Agreeing with our intuition, we see that our 3D representation is reducible into a 2D one and 1D one. We say it is the *direct sum* of the two:

$$V = A_1 \oplus E$$

In fact all of its matrices were block diagonal (2x2 and 1x1)

$$\begin{pmatrix} a & b & 0 \\ c & d & 0 \\ 0 & 0 & e \end{pmatrix}$$

## Direct Product Representations

Another useful way to generate new representations is by forming *direct product representations*. This happens a lot of in physics, like tensors.

We had a representation that acted on vectors,

$$v'_i = R_{ij} v_j$$

How do you transform tensors? You act on each index.

$$M'_{ij} = R_{ik} R_{jl} M_{kl}$$

The characters of a the direct product representation are the products of the characters

$$\chi(R \otimes R) = \text{Tr } R_{ik} R_{jl} = R_{ii} R_{jj} = (\text{Tr } R)^2 = \chi(R)^2$$

# Matrices

	$E$	$2C_3$	$3\sigma_v$
$A_1$	1	1	1
$A_2$	1	1	-1
$E$	2	-1	0
<hr/>			
$V$	3	0	1
$M = V \otimes V$	9	0	1
$E \otimes E$	4	1	0

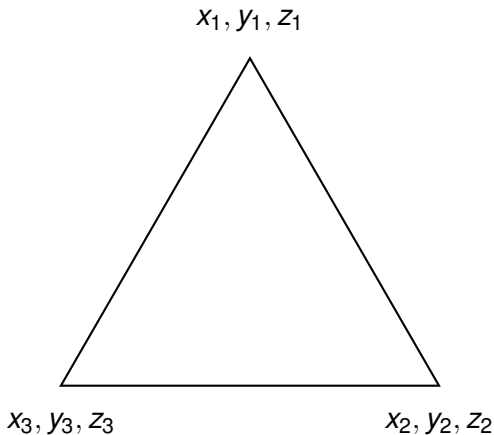
So we see

$$M = 2A_1 \oplus A_2 \oplus 3E$$

$$E \otimes E = A_1 \oplus A_2 \oplus E$$

## Eigenmodes

Let's consider a triangle of masses connected by springs. Let's see we want to know the eigenmodes of the system. First, let's form our representation.



# Representation

This forms a 9D representation of the group  $T$ . What are its characters

$$\chi(E) = 9 \quad \chi(R) = 0 \quad \chi(V) = 1$$

We already know how to decompose this

$$T = 2A_1 \oplus A_2 \oplus 3E$$

But what do these correspond to?

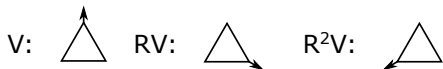


# Projection operator

Since the character tables are super orthogonal

$$P^\Gamma = \sum_g \chi^\Gamma(g) D(g)$$

## Projecting Down

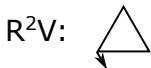
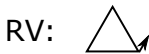
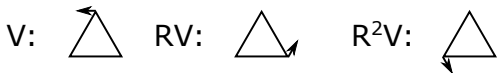
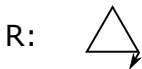


$$p^{A_1} = 2 \begin{array}{c} \uparrow \\ \triangle \end{array} + 2 \begin{array}{c} \triangle \\ \rightarrow \end{array} + 2 \begin{array}{c} \triangle \\ \leftarrow \end{array} = \begin{array}{c} \uparrow \\ \triangle \\ \leftarrow \end{array}$$

$$p^{A_2} = \left( \begin{array}{c} \uparrow \\ \triangle \end{array} - \begin{array}{c} \triangle \\ \uparrow \end{array} \right) + \left( \begin{array}{c} \triangle \\ \rightarrow \end{array} - \begin{array}{c} \triangle \\ \leftarrow \end{array} \right) + \left( \begin{array}{c} \triangle \\ \leftarrow \end{array} - \begin{array}{c} \triangle \\ \rightarrow \end{array} \right) = 0$$

$$p^E = 2 \begin{array}{c} \uparrow \\ \triangle \end{array} - \begin{array}{c} \triangle \\ \rightarrow \end{array} - \begin{array}{c} \triangle \\ \leftarrow \end{array} = \begin{array}{c} \uparrow \\ \triangle \\ \leftarrow \end{array}$$

## Projecting Down

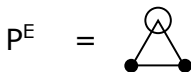
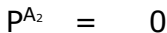
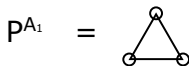
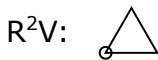
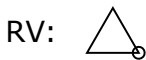
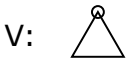
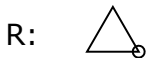
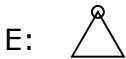


$$p^{A_1} = 0$$

$$p^{A_2} = \text{triangle with arrows pointing up-right, down-right, and up-left}$$

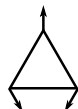
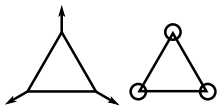
$$p^E = \text{triangle with arrows pointing up-right, down-right, and down-left}$$

## Projecting Down



## Normal Modes

$$T = 2 A_1 + A_2 + 3 E$$



## Continuous Groups

There are also all of the continuous groups. Consider  $SO(3)$ , the group of 3D rotations.

The irreducible representations are the spherical harmonics.

$$Y_{lm} = e^{im\phi} P_l^m(\cos \theta)$$

With dimensionality

$$d = (2l + 1)$$

The characters are:

$$\chi^l(\psi) = \frac{\sin \left[ \left( l + \frac{1}{2} \right) \psi \right]}{\sin \left( \frac{\psi}{2} \right)}$$

where  $\psi$  is how much rotation you do (the classes)

## Vectors

Orthogonality becomes integral

$$\delta_{ij} = \frac{1}{\pi} \int_0^\pi d\psi (1 - \cos \psi) \chi^{i*}(\psi) \chi^j(\psi)$$

Consider the vector representation

$$\chi^V(\psi) = 1 + 2 \cos \psi$$

So we can decompose this

$$V = 1$$

## Spherical Tensors

and its direct product (read matrices)

$$\chi^{V \otimes V} = (1 + 2 \cos \psi)^2$$

decomposes as

$$V \otimes V = 0 \oplus 1 \oplus 2$$

but you already knew that

$$M_{ij} \quad (M_{ij} - M_{ji}) \quad (M_{ij} + M_{ji}) - \frac{1}{3}M_{ij}$$

A matrix has its trace (d=1), antisymmetric part (d=3), and symmetric trace free part (d=5).



## 2 Parameter Family

Looking again at the irreducible representations of the rotation group, we note that it was a 2 parameter family,  $(j, l)$  with the group theory telling us that  $j$  was an integer, and  $l = -(2j + 1), \dots, (2j + 1)$ .

These parameters are physically important quantum numbers, the angular momentum and the magnetic quantum number.

## Fourier Transforms

Consider the group of translations.  $x \rightarrow x + a$ . Forms a group.  
It's irreducible representations are

$$f(x) = e^{ikx}$$

$$f(x + a) = e^{ik(x+a)} = e^{ikx} e^{ika} = ce^{ikx}$$

look familiar?

And the orthogonality theorem tells us that these are all orthogonal. Sound familiar?

Irreps form a one parameter family, corresponding to  $k$ , or "momentum"

# Poincare Group

Fun fact: The Poincaré group, the full symmetry group of Minkowski space (translation in space or time, boosts, rotations) has as its unitary irreducible representations a two parameter family  $(m, s)$  with these also being physically relevant quantum numbers, namely mass and spin.

## Elastic Constants

Why do isotropic solids have 2 (linear) elastic constants, while cubic materials have 3?

Linear elasticity is all of the scalars in

$$\epsilon_{ij}\epsilon_{kl}$$

$$\{ \{ V_{SO(3)} \otimes V_{SO(3)} \} \otimes \{ V_{SO(3)} \otimes V_{SO(3)} \} \} = 2A_1 \oplus \dots$$

$$\{ \{ V_{O_h} \otimes V_{O_h} \} \otimes \{ V_{O_h} \otimes V_{O_h} \} \} = 3A_1 \oplus \dots$$

# Graphene

Now let's talk a bit about graphene.

The goal

To enumerate all possible terms in the free energy

# Symmetries of Graphene

Whatever the energy function is, we know it has a lot of invariants:

- Discrete crystallographic translations
- 3D rotations of deformed sheet
- Graphene point group symmetries

The translations I know how to handle – Plane wave basis / Fourier Transforms. What about the others?

# The Deformation Gradient

Think of elasticity as an embedding.

$$Y : \mathbb{R}^2 \rightarrow \mathbb{R}^3$$

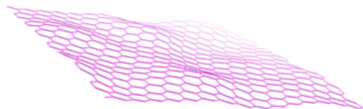
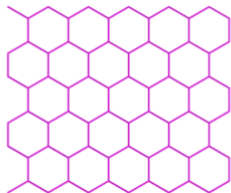
$$X_J = Y_J(x_i)$$

$$dX_J = F_{iJ} dx_i$$

The deformation gradient contains the important information about the deformation.

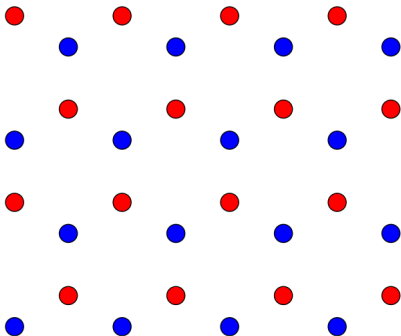
$$F^T F = 1 + 2\epsilon$$

$$F = RU$$



## Sublattices

A and B atoms



So, actually two functions

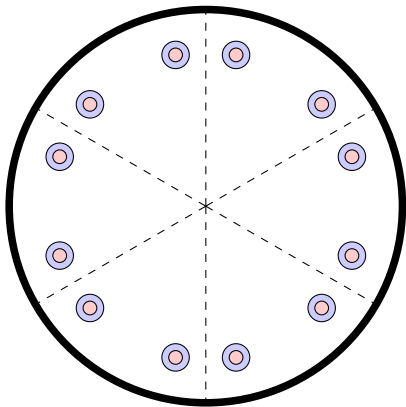
$$\bar{Y} = \frac{1}{2} (Y^A + Y^B)$$

$$\Delta = Y^A - Y^B$$

$\bar{Y}$  gives rise to  $F$



## Point Group Symmetries - $D_{6h}$



- Graphene has a  $D_{6h}$  point group symmetry.
- 24 group elements

$D_{6h}$ 

	$E$	$2C_6$	$2C_3$	$C_2$	$3C'_2$	$3C''_2$	$i$	$2S_3$	$2S_6$	$\sigma_h$	$3\sigma_d$	$3\sigma_v$
A1g	1	1	1	1	1	1	1	1	1	1	1	1
A2g	1	1	1	1	-1	-1	1	1	1	1	-1	-1
B1g	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1
B2g	1	-1	1	-1	-1	1	1	-1	1	-1	-1	1
E1g	2	1	-1	-2	0	0	2	1	-1	-2	0	0
E2g	2	-1	-1	2	0	0	2	-1	1	2	0	0
A1u	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1
A2u	1	1	1	1	-1	-1	-1	-1	-1	-1	1	1
B1u	1	-1	1	-1	1	-1	-1	1	-1	1	-1	-1
B2u	1	-1	1	-1	-1	1	-1	1	-1	1	1	-1
E1u	2	1	-1	-2	0	0	-2	-1	1	2	0	0
E2u	2	-1	-1	2	0	0	-2	1	-1	-2	0	0

## Free Energy

Now we can systematically expand the free energy...

- Powers of the strain
- Gradients
- Terms involving  $\Delta$

The possible terms in our free energy are severely restricted by symmetry

$F_{iJ}$  : (vector on  $D_{6h}$ )  $\times$  (vector on  $SO(3)$ )

$\epsilon_{ij}$  : (rank 2 tensor on  $D_{6h}$ )

$\Delta_J$  : (pseudoscalar on  $D_{6h}$ )  $\times$  (vector on  $SO(3)$ )

$\nabla_i$  : (vector on  $D_{6h}$ )

## Example Term

Consider an example term

$$A_{liJjklm} \Delta_I F_{iJ} \epsilon_{jk} \epsilon_{lm}$$

We have a bunch of conditions (basically)

- Every little index must be invariant under  $D_{6h}$
- Every big index must be invariance under  $SO(3)$
- We must be able to swap  $(jk) \leftrightarrow (lm)$

This term forms a representation of our symmetries, namely

$$[V_{D_{6h}}] \otimes [V_{D_{6h}} \otimes V_{SO(3)}] \otimes \{ [V_{D_{6h}} \otimes V_{SO(3)}] \otimes [V_{D_{6h}} \otimes V_{SO(3)}] \}$$

## Why I do it

There could have been

$$3 \times (2 \times 3) \times (2 \times 2) \times (2 \times 2) = 288$$

Terms.

But turns out there are only 2 allowed.

$$T_{ijk} \Delta_I F_{il} \epsilon_{jk} \epsilon_{ll}$$

$$T_{klm} \Delta_I F_{il} \epsilon_{ik} \epsilon_{lm}$$

where

$$T_{111} = T_{122} = T_{212} = T_{221} = 0$$

$$T_{112} = T_{222} = -1$$

$$T_{121} = T_{211} = 1$$

## Expand the Free Energy

Paying attention to symmetry...

$$\begin{aligned}\mathcal{F} = & \alpha_0 \epsilon_{ij} \\ & + \alpha_1 \epsilon_{ij} \epsilon_{jj} + \alpha_2 \epsilon_{ij} \epsilon_{ij} \\ & + \alpha_3 \epsilon_{ii} \epsilon_{jj} \epsilon_{kk} + \alpha_4 \epsilon_{ij} \epsilon_{jk} \epsilon_{ki} + \alpha_5 H_{ijklmn} \epsilon_{ij} \epsilon_{kl} \epsilon_{mn} \\ & + \alpha_6 a_0^2 F_{il} \nabla_j \nabla_j F_{il} \\ & + \alpha_7 a_0^4 F_{il} \nabla_j \nabla_j \nabla_k \nabla_k F_{il} + \alpha_8 a_0^4 H_{ijklmn} F_{il} \nabla_j \nabla_k \nabla_l \nabla_m F_{nl} \\ & + \alpha_9 a_0^{-1} T_{ijk} \Delta_l F_{il} \epsilon_{jk} \\ & + \alpha_{10} a_0^{-1} T_{ijk} \Delta_l F_{il} \epsilon_{jk} \epsilon_{ll} + \alpha_{11} a_0^{-1} T_{klm} \Delta_l F_{il} \epsilon_{ik} \epsilon_{lm} \\ & + \alpha_{12} a_0^{-2} \Delta_l \Delta_l \\ & + \alpha_{13} a_0^{-2} \Delta_l F_{lj} \Delta_j F_{jj} \\ & + \dots\end{aligned}$$

The End

Thanks.